

**SUNLIGHT PEAKS FINANCIAL PARTNERS, LLC**  
**Privacy Policy**

<b>FACTS</b>	<b>WHAT DOES SUNLIGHT PEAKS FINANCIAL PARTNERS, LLC (“Sunlight Peaks”) DO WITH YOUR PERSONAL INFORMATION?</b>	
<b>WHY?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
<b>WHAT?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security numbers</li> <li>• Income</li> <li>• Account balances</li> <li>• Transaction history</li> <li>• Investment experience and account transactions</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>	
<b>HOW?</b>	All financial companies need to share a customer's personal information to run their everyday business. In the section below, we list reasons financial companies can share their customer's personal information; the reasons Sunlight Peaks chooses to share; and whether you can limit this sharing.	
<b>Reasons we can share your personal information</b>		
<b>Does Sunlight Peaks share?</b>		
<b>Can you limit sharing?</b>		
<b>For our everyday business purposes:</b>		
Such as process your transactions, maintain your account(s), respond to court orders and legal investigations, deal sponsors, or report to credit bureaus	Yes	No
<b>For our marketing purposes:</b>		
To offer products and services to you	Yes	Yes
For joint marketing with other financial companies	No	We do not share
For our affiliates everyday business purposes	No	We do not share
For non-affiliates to market to you	No	We do not share
<b>QUESTIONS?</b>	Call: 866-210-0158 Website: <a href="http://www.sunlightpeaks.com">www.sunlightpeaks.com</a>	

<b>Who we are</b>	
Who is providing this notice?	Sunlight Peaks Financial Partners, LLC
<b>What we do</b>	
How does Sunlight Peaks protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files.
How does Sunlight Peaks collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>• Open an account or give us your income information</li> <li>• Tell us about your portfolio of assets</li> <li>• Enter into an investment advisory contract</li> </ul> <p>We may collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes - information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing</p>
<b>Definitions</b>	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p>Sunlight Peaks is independent meaning we do not have any affiliated relationships.</p>
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <p>Sunlight Peaks does not share with non-affiliates so they can market to you.</p>
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.
<b>Other important information</b>	
Sunlight Peaks also complies with more restrictive state laws to the extent they apply. For example, if you reside in California, you have the right to know what personal information is being collected, whether that information is sold or disclosed and to whom, and the right to stop the sale of your personal information. For additional information, please contact us at 866-210-0158.	